

Financial Adviser Profile

Overview

Luke has been in the finance industry since 2005 and is passionate about providing financial education, clarity, direction, and peace of mind to his clients. This assists them in making confident, informed decisions on the path to achieving their goals and objectives.

Luke Marton is a Sub-Authorised Representative of Luke Marton Financial Services Pty Ltd, Corporate Authorised Representative No. 409473. Authorised Representative No. 314696.

Qualifications

Luke Marton holds a Master of Commerce (Applied Finance), Bachelor of Commerce (Financial Planning & Investments), and an Advanced Diploma Financial Services (Financial Planning) and meets the competency requirements under ASIC's Regulatory Guide RG 146.

Professional Memberships

Luke Marton is a member of the Financial Advice Association Australia (FAAA) and abides by their code of professional conduct and ethics.

Authorisations

Luke Marton is authorised to provide advice and deal in the following financial products:

- Life Products including Investment Life Insurance Products & Life Risk Insurance Products;
- Interests in Managed Investment Schemes including Investor Directed Portfolio Services;
- Deposit & Payment Products;
- Retirement Savings Accounts ("RSA") products;
- Debentures, Stocks or Bonds issued or proposed to be issued by a Government;
- Superannuation;
- Standard Margin Lending Facility; and
- Securities.



Luke Marton

Luke Marton Financial Services

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Luke Marton Financial Services Advice Fees and Charges

Luke Marton will be paid by Financial Planning Advice Fees as described in the Financial Services Guide. The amount of the benefit and how it is calculated will be advised to you during your initial meeting. All fees are inclusive of GST.

Initial consultation	A fee of \$165 will apply.
Strategy Presentation (if applicable)	\$990 to \$1,650.
Advice preparation	The fee for the preparation of a Statement of Advice and other Advice documents will vary depending on the complexity involved and the time taken. You will be notified of the costs involved prior to the commencement of any work. The minimum fee charged is \$2,750 while the maximum fee is \$7,700.
Implementation	If you elect to pay us a fee for implementing the advice the following fees will apply. The fees depend on the size of the investment portfolio and the complexity of the advice: Portfolios less than \$100,000: Implementation fee of between \$990 and \$2,200 Portfolios \$100,000 - \$300,000: Implementation fee of between \$1,650 and \$5,500 Portfolios in excess of \$300,000: Implementation fee of between \$6,600 and \$9,900
Ongoing fee for advice	If you elect to pay a fee for the ongoing reporting, advisory services, and review of your financial planning strategy, the ongoing fee is based on the complexity of ongoing advice and the services provided. You will be notified of the cost involved prior to the commencement of any ongoing services. The minimum fee is \$2,200 while the maximum is \$9,900 pa.
Ad hoc advice	Where you do not wish to participate in an ongoing service fee arrangement but require ongoing advice on an ad hoc basis, an hourly fee of \$330 will apply.
Execution only service	Where we provide a financial service to facilitate buying or selling of a specific financial product as instructed by you, a one-off fee of \$275 to \$385 may apply.

Luke Marton Financial Services Pty Ltd pays a fixed licensing fee to Capstone Financial Planning Pty Ltd and will receive all revenue earned from the financial services provided to you. Luke is a Sub-Authorised Representative of Luke Marton Financial Services Pty Ltd and will receive a salary/benefit from this company.

Other Benefits Luke May Receive

From time to time Luke may be invited to social or sporting events and receive the occasional gift such as a bottle of wine or hamper on special occasions. These non-cash benefits will have a value of less than \$300. A register listing the details of any non-cash benefits between \$100 and \$300 is maintained. These invitations and gifts do not influence the advice provided to you. If you would like more information you can request a copy of the register.

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